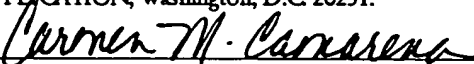


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	086328-9004
	First Named Inventor	
	Michael Robert Smialek	
	<u>Certificate of Mailing</u>  United States Postal Service Express Mail Mailing Label No. EL556028082US  I, Carmen M. Camarena, hereby certify that these documents and fees are being deposited with the United States Postal Service as Express Mail on the date of my signature and is addressed to the Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.   Date of Signature: July 10, 2001	

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Enclosed for filing is a complete patent application, entitled "UNIVERSAL DATA EDITOR" invented by:

Michael Robert Smialek  
1548 Meadow Lane  
Glenview, IL 60025

and including the following documents:

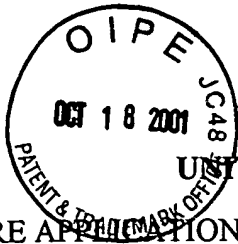
Specification including Claims - 41 pages  
Abstract of the Disclosure - 2 pages  
Drawings - 38 sheets  
Return Receipt Postcard  
Declaration, Power of Attorney  
Check No. 1274 for \$395.00,

(which includes the basic filing fee of \$355.00 and the \$40 fee for filing the Assignment)  
Assignment  
Cover letter for assignment  
Applicant claims small entity status

The filing fee has been calculated as shown below.

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$355.00
TOTAL CLAIMS	16 - 20	= 0	X \$9.00	= \$0.00
INDEPENDENT CLAIMS	3 - 3	= 0	X \$40.00	= \$0.00
TOTAL FILING FEE --				\$355.00

RECEIVED  
DEC 27 2002  
TC 1700



10-19-01

Section 1

IN THE  
UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Smialek )  
ATTORNEY DOCKET NO.: 086328-9004 )  
SERIAL NO.: 09/904,175 *901,804* )  
FILING DATE: July 10, 2001 ) ART UNIT: 1645  
ENTITLED: UNIVERSAL DATA EDITOR )

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Box MISSING PARTS

RECEIVED  
DEC 27 2002  
TC 1700

Dear Sir:

- [x] AUTHORIZATION TO PAY AND PETITION FOR THE ACCEPTANCE OF ANY NECESSARY FEES. If any charges or fees must be paid in connection with the following Communication (including but not limited to the payment of issue fees), they may be paid out of our deposit account No. 501965. If this payment also requires a Petition, please construe this authorization to pay as the necessary Petition which is required to accompany the payment.
- [ ] Applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for response to the Office Action dated \_\_\_\_ for \_\_\_\_ months from \_\_\_\_ to \_\_\_\_\_. Submitted herewith is our check no. \_\_\_\_ for the amount of \$ \_\_\_\_ to cover the cost of the extension for a small entity. If a check is lost, or otherwise does not accompany this Petition, please charge my deposit account number 501965 in the appropriate amount to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

EXPRESS MAILING CERTIFICATE	
Express Mail Label No. EL874050638US	
I, David Bermejo, hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date shown above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of my signature.	
	Signature
<u>10-18-01</u>	Date of Signature

Registration  
see attached

MICHAEL BEST & FRIEDRICH LLC  
ATTORNEYS AT LAW  
401 North Michigan Avenue, Suite 1900  
Chicago, Illinois 60611  
PHONE: (312) 661-2100  
FAX: (312) 661-0029

## RESPONSE

Dear Sir:

This communication is in response to the Notice to File Missing Parts of Nonprovisional Application dated August 28, 2001. Applicant submits as Exhibit A a copy of cancelled Check No. 1274 in the amount \$395.00 (\$355.00 filing fee plus \$40.00 assignment recordal fee), payable to the Commissioner of Patents and Trademarks, which was submitted with the application on July 10, 2001. The highlighted portion contains tracking numbers placed on the check by either the PTO's bank or applicant's bank while processing the check for payment. Applicant submits as Exhibit B a copy of the postcard submitted with the application indicating that Check No. 1274 was in fact sent with the filed application. The postcard was returned to applicant's attorney by the PTO, presumably indicating that all items listed on the postcard were in fact received by the PTO. Applicant believes this evidence sufficiently shows that the filing fee was paid by applicant at the time the application was filed.

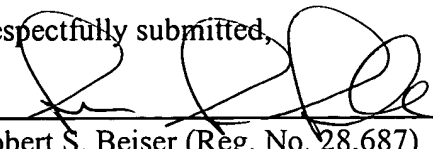
As no elements were in fact absent from the original filing, applicant respectfully requests that the late filing fee be waived.

Applicant submits with this communication a substitute abstract in compliance with 37 C.F.R. 1.72(b) and substitute drawing sheets in compliance with 37 C.F.R. 1.84(g). Also enclosed is a copy of the Notice (Exhibit C) as required.

This Response supercedes the Response mailed October 17, 2001, where the Serial No. in the caption contains a typographical error.

Dated: October 18, 2001

Respectfully submitted,



---

Robert S. Beiser (Reg. No. 28,687)  
Sean S. Swidler (Reg. No. 49,033)  
Michael Best & Friedrich LLC  
401 N. Michigan Avenue, Suite 1900  
Chicago, IL 60611  
(312) 661-2100

Attorneys for Applicant



Knowledge Dynamics, Inc.  
 Michael R. Smdalek, President  
 PO. Box 488-0501  
 1540 Greenwood Lane  
 Glenview, IL 60026

1274

2/1/10  
 10/10/10

DATE: JULY 10, 2001

\$ 395.00

COMMISSIONER OF PATENTS & TRADEMARKS

250075075 170 3503 4024 08 25

Three Hundred Ninety Five Dollars

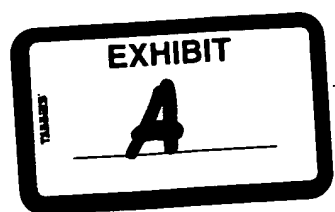


Michael R. Smdalek

PATENT APP. FILING FEES

00000035500

001274 1071000013111500:087466#



THE U.S. PATENT & TRADEMARK OFFICE  
OFFICIAL MAILROOM STAMP AFFIXED HERETO  
ACKNOWLEDGES RECEIPT OF:

ENCLOSURES:

☒ Check 1274 ~~1274~~ \$ 395.00

Patent Application

- ☒ Utility ☐ Design  
☒ Spec 436 Pages  
☐ Claims 5 Pages  
☐ Drawings 38 Sheets ☐ F ☐ Inf  
☐ Abstract 2 Pages  
☐ Information Disclosure Statement  
☐ Preliminary Amendment  
☒ Assignment & Recordation Form Cover Sheet  
☒ Claim Priority  
☐ Certified Copy(ies) \_\_\_\_\_ (number) of \_\_\_\_\_  
☒ Oath or Declaration  
☐ Non-Publication Request

Trademark Application

- ☐ Use ☐ Intent-to-use  
☐ Application with Drawing  
☐ Specimens  
☐ Claim Priority  
☐ Certified Copy(ies) \_\_\_\_\_ (number)  
☐ Transmittal Letter (x2)

JC971 U.S. PTO

09/901804



07/10/01

Applicant: Michael Robert Sprialek

File No: 086328904 Filed on 7-10-2001

For: Universal Data Editor

ATTY / SEC: RSB/cmc DATE SENT 7-10-2001

EXHIBIT

**B**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
<del>09/904,175</del> 804 09 901804	07/11/2001	Michael Robert Smialek	086328-9004

Robert S. Beiser  
Michael Best & Friedrich LLP  
100 East Wisconsin Avenue  
Milwaukee, WI 53202-4108

} Received by error

CONFIRMATION NO. 1169

## FORMALITIES LETTER



\*OC000000006488192\*

Date Mailed: 08/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice **MUST** be returned with the reply.

EXHIBIT

C

*Charthy Young*  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## REGISTRATION NUMBERS

Charles A. Laff	Reg. No. 19787	Casimir F. Laska	Reg. No. 30,862
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Daniel S. Jones	Reg. No. 42,697	Robert S. Beiser	Reg. No. 28,687
Richard L. Kaiser	Reg. No. 46,158	Sean S. Swidler	Reg. No. 49,033
Timothy M. Kelley	Reg. No. 34,201		